

06-04-01

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Express Mail No. EK381340288US

Docket No. 13761-754

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Peter C. Brooks, Hollywood, California; Dorothy Rodriguez, La Habra, California
 Serial No.:
 Filing Date: May 31, 2001
 Title: NOVEL METHOD AND COMPOSITION FOR INHIBITION OF ANGIOGENESIS AND TUMOR GROWTH USING COMPOUNDS BASED ON A SEQUENCE WITHIN MMP-2

UTILITY PATENT APPLICATION & FEE TRANSMITTAL
 (for nonprovisional applications under 37 CFR § 1.53(b))

Assistant Commissioner for Patents
BOX PATENT APPLICATION
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing in connection with the above-identified patent application are the following:

| | |
|--|---|
| I. Elements of the Application | |
| <input type="checkbox"/> Application | [0] page(s) of Cover Sheet [29] page(s) of Specification [4] page(s) of Claims (35 claims) [1] page(s) of Abstract [5] sheets of Drawings [X] Formal [] Informal |
| <input type="checkbox"/> Declaration and Power of Attorney [] page(s) [] Unexecuted [] Executed [] Copy from prior application (37CFR § 1.63(d)) | |
| <input type="checkbox"/> Deletion of Inventors: [] page(s) of signed statement deleting inventor(s) (37CFR § 1.63(d) & 1.33(b)) | |
| <input type="checkbox"/> Request and Certification Under 35U.S.C. 122(b)(2)(B)(i) | |
| <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission: [] page(s) of Sequence Listing (paper copy) [] disk(s) containing Sequence Listing (computer readable copy) [] page(s) of Statement Under 37 CFR 1.821(f) | |
| <input type="checkbox"/> Microfiche Computer Program Appendix _____ | |
| II. Claim for U.S. Priority | |
| <input type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-Part of Prior Application No. _____ filed _____. (35 USC §120) | |
| <input type="checkbox"/> This application claims the benefit under 35 U.S.C. §119(e) of United States Application Serial No. 60/_____ filed _____. (Provisional Application) | |
| <input type="checkbox"/> The entire disclosure of the prior application referenced above, is considered as being part of the application filed herewith and is herein incorporated by reference in its entirety. | |
| III. Claim for Foreign Priority | |
| <input type="checkbox"/> This application claims the benefit under 35 USC §119 of Prior Application No. _____ filed _____. | |
| <input type="checkbox"/> Certified Copy of Priority Document [] page(s) | |
| <input type="checkbox"/> English Translation of Priority Document [] page(s) | |

IV. Accompanying Documents

- ☐ Assignment Papers [] page(s) of Recordation Cover Sheet [] page(s) of Assignment
- ☒ Applicant(s) claims Small Entity Under 37 CFR § 1.27 [] Verified Small Entity Statement was previously filed in Prior Application No. _____ on _____.
- ☐ Information Disclosure Statement [] page(s) of PTO-1449 [] copies of IDS References
- ☐ A Preliminary Amendment [] page(s)
- ☐ A copy of a Petition for Extension of Time (_ mos.) filed simultaneously in Prior Application No. _____
- ☐ A copy of a Submission of Processing & Retention Fee (37 CFR § 1.78(a)(1) which is being filed simultaneously in Prior Application No. _____
- ☐ Other _____ (specify)
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

V. Fee Calculation

| <input type="checkbox"/> The following fees are submitted: | | | | | CALCULATIONS |
|--|----------------|----------------|-------------------------|--------------|-----------------|
| | | | OTHER THAN SMALL ENTITY | SMALL ENTITY | \$ |
| BASIC NATIONAL FILING FEE | | | \$ 710.00 | \$ 355.00 | \$355.00 |
| EXTRA CLAIMS FEE | | | | | |
| CLAIMS | # FILED | # EXTRA | RATE | RATE | |
| Total Claims | 35 - 20 = | 15 | × \$18.00 | × \$ 9.00 | \$135.00 |
| Independent claims | 7 - 3 = | 4 | × \$80.00 | × \$40.00 | \$160.00 |
| MULTIPLE DEPENDENT CLAIM(S) | | | | | |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | | | \$270.00 | \$135.00 | \$ |
| Fee for recordation of the enclosed assignment (37 CFR 1.21(h), 3.28, 3.31). | | | | | |
| _____ (Numbers of Applications) × \$40.00 | | | | | \$ |
| OTHER FEES _____ (specify) | | | | | \$ |
| OTHER FEES _____ (specify) | | | | | \$ |
| TOTAL FEES = | | | | | \$650.00 |

- a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.
- b. ☐ Please charge Deposit Account No.: _____, Docket No. _____, in the amount of \$_____ to cover the above fees. *A duplicate copy of this sheet is enclosed.*
- c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____, Docket No. _____.
A duplicate copy of this sheet is enclosed.

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

DATE

Rajiv Yadav
 NAME

May 31, 2001
 43,999
 REGISTRATION NUMBER